

2811  
PATENT

Attorney Docket No.: SAM-0274

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Min-su Kim, et al.

Serial No.: 09/994,146

Filing Date: November 26, 2001

Title: SEMICONDUCTOR DEVICE HAVING SILICON-ON-INSULATOR  
STRUCTURE AND METHOD OF FABRICATING THE SAME

Examiner: Hu, S.

Group Art Unit: 2811

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1-30-03

Date

  
Amy Green

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Information Disclosure Statement;
2. Form PTO-1449 and copies of cited references AA, AL & AM;
3. Check in the amount of \$180.00 to cover fee set forth in 37 CFR 1.17(p); and
4. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date:

January 30, 2003  
Mills & Onello LLPEleven Beacon Street, Suite 605  
Boston, MA 02108

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Anthony P. Onello, Jr.

Registration Number 38,572

Attorney for Applicants



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Amy Green  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☒ under 37 CFR 1.97(d) together with:
- ☒ a Statement under 37 CFR 1.97(e), as checked below, and
- ☒ the \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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01 FC:1806

Applicant(s): Min-su Kim, et al.  
Serial No.: 09/994,146

Statement Under 37 1.97(e)

- ☒ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

☒ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for references AL & AM is satisfied by:

- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☒ submission of the enclosed United States counterpart issued patent 5,973,364 (reference AA).
- ☒ abstract.

It is requested the information disclosed herein be made of record in this application.

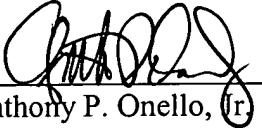
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Method of payment:

- ☐ Enclosed is a check in the amount of \_\_\_\_\_.
- ☐ Please charge Deposit Account of 50-1798 in the amount of \_\_\_\_\_.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: January 30, 2003  
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